

# Alexander H. von Hafften

## PERSONAL INFORMATION

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Ph.D. Student  
University of Wisconsin–Madison, Department of Economics  
Wisconsin School of Business, Department of Finance, Investment, and Banking  
1180 Observatory Dr, Madison, WI 53706  
Homepage: <https://vonhafften.github.io>  
Nationality: United States  
Marital status: Married

## EDUCATION

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- 08/2020–Current **Ph.D., Economics and Finance**  
University of Wisconsin–Madison (UW-Madison)  
National Science Foundation Graduate Research Fellow  
(Nonterminal) M.S. in Economics (December 2022)  
**Advisor:** Dean Corbae  
**Anticipated Completion Date:** May 2026
- 08/2011–05/2015 **B.A., Economics (with honors) and Mathematics/Computer Science**  
Lewis & Clark College (LC), Portland, Oregon

## PROFESSIONAL EXPERIENCE

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- 06/2023–08/2023 **International Monetary Fund**  
European Department (Advanced Economies)  
Positions: Dissertation Intern  
Supervisors: Nathaniel Arnold, Goesta Ljungman, Asghar Shahmoradi
- 09/2015–09/2020 **Federal Reserve Board**  
Division of Research & Statistics  
Positions: Research Assistant, Financial Analyst  
Supervisors: Wayne Passmore, Diana Hancock

## PUBLICATIONS

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### Working Papers

1. NATTINGER, M., AND VON HAFFTEN, A. Heterogeneous firms, emissions, and optimal carbon taxes. October 2023

### Journal Publications

1. DU, F., HANCOCK, D., AND VON HAFFTEN, A. Are incurred loss standards countercyclical? A case study using bank holding company data. *Journal of Risk and Financial Management* 15, 3 (2022)
2. PASSMORE, W., AND VON HAFFTEN, A. Financing affordable and sustainable homeownership with Fixed-COFI mortgages. *Regional Science and Urban Economics* 80 (2020)
3. BIRN, M., DE BANDT, O., FIRESTONE, S., GIRAULT, M. G., HANCOCK, D., KROGH, T., MIO, H., MORGAN, D., PALVIA, A., SCALONE, V., STRAGHAN, M., ULUC, A., VON

- HAFFTEN, A., AND WARUSAWITHARANA, M. The costs and benefits of bank capital – a review of the literature. *Journal of Risk and Financial Management* 13, 74 (2020)
- PASSMORE, W., AND VON HAFFTEN, A. Are Basel’s capital surcharges for global systemically important bank too small? *International Journal of Central Banking* 15, 1 (2019)
  - PASSMORE, W., AND VON HAFFTEN, A. GSE guarantees, financial stability, and home equity accumulation. *Federal Reserve Bank of New York Economic Policy Review* (2018)

## Short Papers

- VON HAFFTEN, A. Assessing major country exposures of U.S. banks using 009a Data Reports: A Brexit case study. *Finance and Economics Discussion Series Notes* (2019)

## Technical Report

- SCHMITZ, S., RUFFINO, D., STEINER, K., MARTIN, C., TOADER, O., TERAMURA, T., VON HAFFTEN, A., KUTLUKAYA, M., AND BARAKOVA, I. Survey on the interaction of regulatory instruments: results and analysis. *Basel Committee Working Paper* (2019)

## TEACHING EXPERIENCE

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Fall 2021, Spring 2022, Fall 2022 Teaching Assistant for First-Year Ph.D. Macroeconomics (UW-Madison)  
Fall 2018 – Spring 2020 Instructor for “Data Science in R” (Howard University)

## SERVICE

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**Referee** for Economic Theory (2022), Atlantic Economic Journal (2019)

**Organizer** of Finance, Macro, and International (FMI) Student Seminars in 2023 (UW-Madison)

## HONORS, AWARDS & SCHOLARSHIPS

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### University of Wisconsin – Madison

Summer 2023 Juli Plant Grainger Institute Summer Research Fellowship (joint with Michael B. Nattinger)  
May 2022 Juli Plant Grainger Institute Teaching Assistant Scholarship  
January 2022 Juli Plant Grainger Institute Teaching Assistant Scholarship  
May 2020 National Science Foundation Graduate Research Fellowship

### Lewis & Clark College

May 2015 The Worldly Philosopher Award (LC Economics Department awards the graduating economics major who made the greatest overall academic and service contribution)  
May 2015 Phi Beta Kappa (Liberal Arts Honor Society)  
May 2015 Pi Mu Epsilon (Mathematics Honor Society)

## REFERENCE

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**Prof. Dean Corbae** (Ph.D. advisor)  
Position: Professor of Economics and Finance  
Institution: University of Wisconsin – Madison  
Address: 1180 Observatory Dr, Madison, WI 53706  
Email: [corbae@ssc.wisc.edu](mailto:corbae@ssc.wisc.edu)

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